#### UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:

Group:

Attorney Docket # 3437

Applicant(s): KRAENZLER, E., ET AL

Serial No.:

10/564,668

Filed:

01/13/2006

For:

TOOL HOLDING DEVICE

Honorable Commissioner of Patents and Trademarks P.O. Box 1450 Alexandria, VA 22313-1450

March 5, 2007

Sir:

The subject application was filed without signature of the inventors.

Executed application papers are submitted herewith.

It is respectfully requested that the required fee be charged to the account of the undersigned (19-4675).

Respectfully submitted,

/ Michael J. Striker /

Michael J. Striker Attorney for Applicant(s) Reg. No. 27233



#### United States Patent and Trademark Office

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS Alexandra, Virginia 22313-1450 www.uspto.gov

U.S. APPLICATION NUMBER NO.

FIRST NAMED APPLICANT

ATTY. DOCKET NO.

10/564,668

Ernst Kraenzler

3437

INTERNATIONAL APPLICATION NO.

PCT/DE04/02131

I.A. FILING DATE

PRIORITY DATE

09/24/2004

11/08/2003

Stricker, Stricker & Stenby 103 East Neck Road Huntington, NY 11743

> **CONFIRMATION NO. 1540** 371 FORMALITIES LETTER

Date Mailed: 02/26/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 01/13/2006
- Copy of the International Search Report filed on 01/13/2006
- Preliminary Amendments filed on 01/13/2006
- Information Disclosure Statements filed on 01/13/2006
- Oath or Declaration filed on 01/13/2006
- Request for Immediate Examination filed on 01/13/2006
- U.S. Basic National Fees filed on 01/13/2006
- Assignment filed on 01/13/2006
- Priority Documents filed on 01/13/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items MUST be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - A properly executed oath or declaration in compliance with 37 CFR 1.497 must be filed.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

• \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web. <a href="https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html">https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html</a>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <a href="http://www.uspto.gov/ebc.">http://www.uspto.gov/ebc.</a>

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

VIRGINIA L IRBY

Telephone: (703) 308-9140 EXT 229

#### PART 1 - ATTORNEY/APPLICANT COPY

TIC ADDITION OF THE PROPERTY O		
U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/564,668	PCT/DE04/02131	3437

FORM PCT/DO/EO/905 (371 Formalities Notice)

# DECLARATION AND POWER OF ATTORNEY FOR NATIONAL STAGE OF PCT PATENT APPLICATION

As a below-named inventor, I hereby declare that:

Ernst KRAENZLER
Peter STIERLE
Albrecht HOFMANN
Juergen WIKER
Harald KRONDORFER
Markus HECKMANN
Joachim SCHADOW
Sinisa ANDRASIC
Thomas SCHOMISCH
Christof HOELZL
Johann HUBER

Each of us on our own behalf and on behalf of the deceased inventor Markus HECKMANN, and his legal representative Kersten HECKMANN, residing at and whose Postal Address is Joh.-Seb.-Bach-Strasse 34, D-70771 Leinfelden-Echterdingen, Germany, a citizen of Germany

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **TOOL HOLDING DEVICE** in specification of which was filed as PCT International Application number PCT/EP 2004/002131 filed on September 24, 2004.

I hereby state that I believe the named inventor or inventors in this Declaration to be the original and first inventor or inventors of the subject matter which is claimed and for which a patent is sought.

I hereby state that I have reviewed and understand the contents of the above-identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose all information which is material to the patentability of this application in accordance with Title 37, Code of Federal Regulations, Section 1.56.

I hereby claim foreign priority benefits under Title 35, United States Code, Section 119(a)-(d) or Section 365 (b) of any foreign application(s) for patent or inventor's certificate, or Section 365(a) of any PCT International application which designated at least one country other than the United States, listed below and have also identified below, by checking the box, any foreign application for patent or inventor's certificate or PCT International application having a filing date before that of the application on which priority is claimed.

Prior Foreign applications:

103 52 288.3 Germany
Number Country

NOVEMBER 8, 2003

X
Yes No

As a named inventor, I hereby appoint the following attorney to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Michael J. Striker, Reg. No. 27233

Direct all telephone calls to Striker, Striker & Stenby at telephone no.: (631) 549 4700 and address and all correspondence to:

STRIKER, STRIKER & STENBY 103 East Neck Road Huntington, New York 11743 U.S.A.

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statement may jeopardize the validity of the application or any patent issued thereon.

Each of us on our own behalf and on behalf of the deceased inventor Markus HECKMANN, and his legal representative, Kersten HECKMANN.

Resident RECKMANN.		and this legal	
Signature:  Exast Arahalo  Full Name of First or Sole Inventor:	Date: 13M1 2006	DE-70771 Leinfelden-Echterdingen Germany	
Ernst KRAENZLER  Signature:	Citizenship: GERMAN  Date: 27-05.06		
Full Name of Second Inventor: Peter STIERLE	Citizenship:		
Signature:	GERMAN Date:	Residence and Full Postal Address:	
Full Name of Third Inventor: Albrecht HOFMANN	M03-06 Citizenship: GERMAN	Seilerstrasse 11/1 DE-71144 Steinbronn Germany	
Signature:	Date: 20.10.06	Residence and J.W. Zone Co. Full Postal Address: Kleine Obergasse 16/2 DE-70771 Leinfelden-Echterdingen Germany 36 Russh: Road XI Hu Distoict 31 Co.13 Hangehou PR. China	
Full Name of Fourth Inventor: Juergen WIKER	Citizenship: GERMAN		
Signature: Lands-fe	Date:	Residence and Full Postal Address: 1800 West Central Road Mt. Prospect, IL 60056 USA USA	
Full Name of Fifth Inventor: Harald KRONDORFER	Citizenship: GERMAN		
Signature:	Date: 7/11/06	Residence and Full Postal Address: Echterdingerstrasse 12	
Full Name of Sixth Inventor: Joachim SCHADOW	Citizenship: GERMAN	DE-72135 Dettenhausen Germany	

Signature: Squaliers, &	Date: 2006-11-08	Residence and Full Postal Address: Friedrich-Hoelderlin-Weg 25	
Full Name of Seventh Inventor: Sinisa ANDRASIC	Citizenship: GERMAN	DE-71101 Schoenaich Germany	
Signature	Date: 2006/09/25	Residence and Full Postal Address: Neuffener Weg 56 DE-70794 Filderstadt Germany	
Full Name of Eighth Inventor: Thomas SCHOMISCH	Citizenship: GERMAN		
Signature:	Date:	Residence and Full Postal Address: Inhoefe 5	
Full Name of Ninth Inventor: Christof HOELZL	Citizenship: AUSTRIAN	A-6134 Vomp Austria	
Signature: John Jul	Date: 08 / 25 / 2006	Residence and Full Postal Address:	
Full Name of Tenth Inventor: Johann HUBER	Citizenship: AUSTRIAN	Winkl 373 Wittberg 1 A-6233 Kramsach Austria	
Signature:	Date:	Residence and Full Postal Address:	
Full Name of Eleventh Inventor: Markus HECKMANN	Citizenship: GERMAN	JohSeb. Strase 34 D-70771 Leinfelden-Echter dingen, Germany	
Signature:	Date:	Residence and Full Postal Address:	
Full Name of Twelfth Inventor:	Citizenship:		
Signature:	Date:	Residence and Full Postal Address:	
Full Name of Thirteenth Inventor:	Citizenship:		
Signature:	Date:	Residence and Full Postal Address:	
Full Name of Fourteenth Inventor:	Citizenship:		

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As a below-named inventor, I hereby declare that:

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Thomas SCHOMISCH
Christof HOELZL
Johann HUBER

Each of us on our own behalf and on behalf of the deceased inventor Markus HECKMANN, and his legal representative Kersten HECKMANN, residing at and whose Postal Address is Joh.-Seb.-Bach-Strasse 34, D-70771 Leinfelden-Echterdingen, Germany, a citizen of Germany

My residence, post office address and citizenship are as stated below next to my name. I believe I am the original, first and sole inventor (if only name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled **TOOL HOLDING DEVICE** in specification of which was filed as PCT International Application number PCT/EP 2004/002131 filed on September 24, 2004.

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Prior Foreign applications:Priority Claimed:103 52 288.3GermanyNOVEMBER 8, 2003XNumberCountryDate FiledYesNo

As a named inventor, I hereby appoint the following attorney to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith:

Michael J. Striker, Reg. No. 27233

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STRIKER, STRIKER & STENBY 103 East Neck Road Huntington, New York 11743 U.S.A. I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that wilful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such wilful false statement may jeopardize the validity of the application or any patent issued thereon.

Each of us on our own behalf and on behalf of the deceased inventor Markus HECKMANN, and his legal representative, Kersten HECKMANN.

Signature:	Date:	Residence and	
		Full Postal Address: Oberlinstrasse 14	
Full Name of First or Sole Inventor: Ernst KRAENZLER	Citizenship: GERMAN	DE-70771 Leinfelden-Echterdingen Germany	
Signature:	Date:	Residence and Full Postal Address: Liebenaustrasse 47	
Full Name of Second Inventor: Peter STIERLE	Citizenship: GERMAN	DE-71111 Waldenbuch Germany	
Signature:	Date:	Residence and Full Postal Address: Seilerstrasse 11/1 DE-71144 Steinbronn Germany	
Full Name of Third Inventor: Albrecht HOFMANN	Citizenship: GERMAN		
Signature:	Date:	Residence and Full Postal Address: Kleine Obergasse 16/2	
Full Name of Fourth Inventor: Juergen WIKER	Citizenship: GERMAN	DE-70771 Leinfelden-Echterdingen Germany	
Signature:	Date:	Residence and Full Postal Address: 1800 West Central Road	
Full Name of Fifth Inventor: Harald KRONDORFER	Citizenship: GERMAN	Mt. Prospect, IL 60056 USA	
Signature:	Date:	Residence and Full Postal Address: Echterdingerstrasse 12 DE-72135 Dettenhausen Germany	
Full Name of Sixth Inventor: Joachim SCHADOW	Citizenship: GERMAN		

Signoture			
Signature:	Date:	Residence and Full Postal Address: Friedrich-Hoelderlin-Weg 25	
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Full Name of Eighth Inventor: Thomas SCHOMISCH	Citizenship: GERMAN		
Signature:	Date: 66. Sq1. 2006		
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Signature:	Date:	Residence and Full Postal Address: Winkl 373 A-6233 Kramsach Austria	
Full Name of Tenth Inventor: Johann HUBER	Citizenship: AUSTRIAN		
Signature:	Date:	Residence and Full Postal Address:	
Full Name of Eleventh Inventor: Markus HECKMANN	Citizenship: GERMAN	JohSeb. Strase 34 D-70771 Leinfelden-Echter- dingen, Germany	
Signature:	Date:	Residence and Full Postal Address:	
Full Name of Twelfth Inventor:	Citizenship:		
Signature:	Date:	Residence and Full Postal Address:	
Full Name of Thirteenth Inventor:	Citizenship:		
Signature:	Date:	Residence and Full Postal Address:	
Full Name of Fourteenth Inventor:	Citizenship:		